

09/18/01



PTO 1054

09-20-0

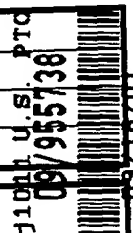
Please type a plus sign (+) in this box ☒ PTO/SB/05 (4/98)  
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# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 C.F.R. § 1.53(b))

Attorney Docket No. HUV-050.01  
First Inventor or Application Identifier Jason H. Hafner  
Title Fabrication of Nanotube Microscopy Tips  
Express Mail Label No. EL408067865US



PTO 1054

## APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1. ☐ \* Fee Transmittal Form (e.g. PTO/SB/17)  
(Submit an original and a duplicate for fee processing)
2. ☒ Specification [Total pages 14]  
(referred arrangement set forth below)
- Descriptive title of the Invention
  - Cross References to Related Applications
  - Statement Regarding Fed sponsored R&D
  - Reference to Microfiche Appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure

3. ☒ Drawing(s) (35 U.S.C. 113) (Total Sheets 13)

4. ☒ Oath or Declaration (Total Sheets 1)

- a. ☒ Newly unexecuted (original or copy)
- b. ☐ Copy from a prior application (37 C.F.R. § 1.63 (d))  
(for continuation/divisional with Box 16 completed)
- i. ☐ **DELETION OF INVENTOR(S)**  
Signed statement attached deleting inventor(s) named in the prior application see 37 C.F.R. § 1.63(d)(2) and 1.33(b)

\* NOTE FOR ITEMS 1 & 13: IN ORDER TO BE ENTITLED TO PAY SMALL ENTITY FEES, A SMALL ENTITY STATEMENT IS REQUIRED (37 C.F.R. § 1.27) EXCEPT IF ONE FILED IN A PRIOR APPLICATION IS RELIED UPON (37 C.F.R. § 1.28).

ADDRESS TO: Assistant Commissioner to Patents  
Box Patent Application  
Washington, DC 20231

5. ☐ Microfiche Computer Program (Appendix)
6. Nucleotide and/or Amino Acid Sequence Submission  
(if applicable, all necessary)
- a. ☐ Computer Readable Copy
  - b. ☐ Paper Copy (identical to computer copy)
  - c. ☐ Statement verifying identity of above copies

## ACCOMPANYING APPLICATION PARTS

7. ☐ Assignment Papers (cover sheet & document(s))
8. ☐ (37 C.F.R. § 3.73) Statement ☐ Power of Attorney  
(when there is an assignee)
9. ☐ English Translation Document (if applicable)
10. ☐ Information Disclosure Statement (IDS) PTO-1449 ☐ Copies of IDS Citations
11. ☐ Preliminary Amendment
12. ☒ Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)
13. ☐ \*Small Entity Statement(s) (PTO/SB/09-12) ☐ Statement filed in prior application, Status still proper and desired
14. ☐ Certified Copy of Priority Documents(s)  
(if foreign priority is claimed)
15. Other

16. If a CONTINUING APPLICATION, check appropriate, box, and supply the requisite information below and in preliminary amendment:

☐ Continuation ☐ Divisional ☐ Continuation-in-part(CIP) ☐ of prior application No: \_\_\_\_\_

Prior application information

Examiner: \_\_\_\_\_

Group / Art Unit: \_\_\_\_\_

For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 4b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporations can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

## 17. CORRESPONDENCE ADDRESS

☒ Customer Number or Bar Code Label

Customer No: 25181

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☒ Correspondence address below

Name

PATENT DEPARTMENT

Address

FOLEY, HOAG & ELIOT, LLP

One Post Office Square

City

Boston

State

MA

Zip Code

02109-2170

Country

US

Telephone

(617) 832-1000

Fax

(617) 832-7000

Name (Print/Type)

Dana M. Gordon, Ph.D., J.D.

Registration No Attorney/Agent)

44,719

Signature

*Dana Gordon*

Date

September 18, 2001

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September 18, 2001  
Date

TMK  
THERESA KAVANAUGH

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